



## SEC REGISTRATION REQUIREMENTS

### Minimum Paid-Up Capital Requirement

Break Bulk Agent	P 250,000.00
Cargo Consolidator	400,000.00
Financing Company	
Metro Manila and other 1st class cities	10,000,000.00
Other classes of cities	5,000,000.00
Municipalities	2,500,000.00
Foreign Branch office	US\$ 200,000.00
Foreign Representative office	US\$ 30,000.00
Freight Forwarders (ordinary)	300,000.00
Airfreight Forwarders	2,000,000.00
Health Maintenance Organization	10,000,000.00
Insurance	
Insurance Broker	250,000.00
General Agent	250,000.00
Reinsurance Broker	500,000.00
Investment Adviser/Manager	10,000,000.00
Investment Company	50,000,000.00
Investment House	300,000,000.00
Mining	2,500,000.00
Non-Vessel Operating Common Carrier	500,000.00
Pawnshop	100,000.00
Pre-Need Plan Issuer	100,000.00
Pre-Need Plan Agent	5,000,000.00
Recruitment for Local Employment	
Corporation	500,000.00
Partnership	200,000.00
Recruitment for Overseas Employment	2,000,000.00
Retail Trade with Foreign Equity	US\$ 2,500,000.00
School	
Elementary Education	1,000,000.00
Elementary & Secondary Education.	2,500,000.00
Elementary, Secondary, Tertiary Post/Graduate Education	5,000,000.00
Security Agency	500,000.00
Securities Broker/Dealer (New/SRO-Member)	100,000,000.00
Securities Broker/Dealer (Existing/SRO-Member)	5,000,000.00
Securities Broker/Dealer in Proprietary Shares (Non SRO-Member)	5,000,000.00
Transfer Agent	1,000,000.00